

Attorney Docket: \_\_\_\_\_

**DECLARATION FOR UNITED STATES PATENT APPLICATION**  
For Use With Both PCT and Non-PCT Applications

As a below named inventor, I declare that: My residence, post office address and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled, (1) PROCESS ENABLING FINANCIAL TRANSACTIONS, SYSTEM THEREOF AND  
ELECTRONIC PURSE

specification of which is attached hereto unless the following box is checked: (2) ☐ was filed on (3) \_\_\_\_\_ \* \_\_\_\_\_ (4) as U. S. Appl. SN or PCT International Appl. No. PCT/CH97/00471 and was amended on (5) \_\_\_\_\_ (if applicable).  
\* December 17, 1997

I state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56. I claim foreign priority benefits under 35 USC 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

NUMBER	COUNTRY	DAY/MONTH/YEAR FILED	PRIORITY CLAIMED
(6) _____	_____	_____	<input type="checkbox"/> Yes <input type="checkbox"/> No
_____	_____	_____	<input type="checkbox"/> Yes <input type="checkbox"/> No

I claim the benefit under 35 USC 120 or 119(e) of the United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of 35 USC 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

Appl. S.N.	Filing Date	Status
(7) _____	_____	<input type="checkbox"/> Patented <input type="checkbox"/> Pending <input type="checkbox"/> Abandoned
_____	_____	<input type="checkbox"/> Patented <input type="checkbox"/> Pending <input type="checkbox"/> Abandoned

I appoint B. Franklin Griffin, Jr., Reg. No. 19,334; F. Prince Butler, Reg. No. 25,666; Fred S. Whisenhunt, Reg. No. 24,378; Joerg-Uwe Szipl, Reg. No. 31,799, Richard J. Gallagher, Reg. No. 28,781, individually and jointly my attorneys with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, and with the resulting patent, whose address is Griffin, Butler, Whisenhunt & Szipl, LLP, 2300 Ninth Street South, Suite PH-1, Arlington, Virginia 22204-2396, (CUSTOMER NO. 113), Telephone No. (703) 979-5700, (703) 979-7429 (FAX).

I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 USC 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

(8) Full name of sole or first inventor Philippe Jacot  
Inventor's signature [Signature] Date June 9, 2000  
Residence Neuchâtel / Switzerland CH Citizenship SWISS  
(City and State or Country)  
Post Office Address Rue de l'Hôpital 10, CH-2000 Neuchâtel

(8) Full name of joint inventor \_\_\_\_\_  
Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_  
Residence \_\_\_\_\_ Citizenship \_\_\_\_\_  
Post Office Address \_\_\_\_\_

- (1) Insert title of invention.
- (2) Check block for PCT application or U. S. application already on file, and complete items (3), (4) and (5). If PCT national phase entry application, insert international PCT application filing date, Serial No., and date of any Article 19 amendments.
- (6) Complete for foreign and U.S. provisional application priority documents; add additional page if needed.
- (7) Complete for earlier U.S. provisional and non-provisional parent applications; add additional page if needed.
- (8) Complete ALL blanks. Attach second page for further joint inventors.

**CAUTION: THIS FORM MAY BE USED ONLY IF ALL INVENTORS READ AND UNDERSTAND ENGLISH.**

Applicant or Patentee: \_\_\_\_\_ Attorney's  
 Serial or Patent No. \_\_\_\_\_ Docket No.: \_\_\_\_\_  
 Filed or Issued: \_\_\_\_\_  
 For: \_\_\_\_\_

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
 STATUS (37 CFR 1.9(f) and 1.27(b)) - INDEPENDENT INVENTOR**

As a below-named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled PROCESS ENABLING FINANCIAL TRANSACTIONS, SYSTEM THEREOF AND ELECTRONIC PURSE described in:

☒ the specification filed herewith  
☐ application Serial No. \_\_\_\_\_, filed \_\_\_\_\_  
☐ Patent No. \_\_\_\_\_, issued \_\_\_\_\_

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a non-profit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

☒ no such person, concern or organization  
☐ persons, concerns or organizations listed below\*

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME \_\_\_\_\_  
 ADDRESS \_\_\_\_\_

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NON-PROFIT ORGANIZATION

FULL NAME \_\_\_\_\_  
 ADDRESS \_\_\_\_\_

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NON-PROFIT ORGANIZATION

FULL NAME \_\_\_\_\_  
 ADDRESS \_\_\_\_\_

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NON-PROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Philippe Jacot

NAME OF INVENTOR

NAME OF INVENTOR

NAME OF INVENTOR

Signature of Inventor

Signature of Inventor

Signature of Inventor

June 9, 2000

Date

Date

Date